

Focused Energy has been founded in July 2021 and we have assembled a team of consultants and an advisory council



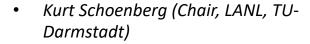


Prof. Dr. Todd Ditmire
Chief Technology Officer
Professor UT Austin, TX
Founder of National Energetics



Prof. Dr. Markus Roth
Chief Science Officer
Professor TU Darmstadt, GER
Founder: IC for nuclear Photonics

Focused Energy Science and Technology
Advisory Council





Thomas Forner
Chief Executive Officer
Management of a number of high-tech
Start-upcompanies



Dr. Anika Stein Chief Operations OfficerPreviously thyssenKrupp Marine
Systems

- Ricardo Betti (University of Rochester)
- Vladimir Tikhonchuk (ELI BL Prague)
- Peter Norreys (U. of Oxford)
- Stefano Atzeni (Uni. La Sapenzia, Rome)

Presently have 12 employees

- Goal is to ramp to 22 employees by end of 2021
 - Will ramp to ~ 40 staff by summer 2022

There have been a number of key advances in recent years that make commercial development of laser-driven IFE timely



Advances in ICF physics and technology understanding

The National Ignition Facility has made considerable progress in understanding hot-spot ICF



NIF has produced >1.3 MJ of fusion yield on a shot – 70% conversion of laser energy to fusion energy

Very high efficiency in laser driven proton sources has been experimentally observed



New techniques for fabricating cone-in-shell PFI targets

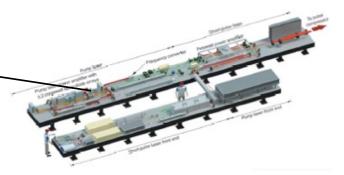
More than 10% of picosecond laser pulse energy has been converted to a proton burst



Advances in high energy laser technology

Lasers with many hundreds of Joules of energy operating at 10 Hz can now be constructed

100 J pulsed laser operating at 10 Hz have been fielded

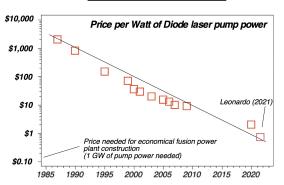


Multi-PW lasers have now been built and can be commercially obtained



Rep. rated kJ class subpicosecond 10 PW laser has been deployed at ELI in Prague

Diode laser prices have dropped <\$1/W

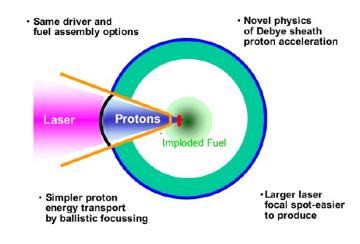


We are developing a technical plan to commercialize IFE by the mid 2030s, with a goal of attempting ignition by the end of the decade

FOCUSED ENERGY.

• Basic approach chosen is to utilize direct drive implosion with 2ω light and ignite by proton fast ignition





IFE Phase 1: Test facility and studies

Study most important physics

- \rightarrow Hydro- eff. and LPI control with 2ω drive
- → proton acceleration with multiple PW beams (10% efficiency goal)

IFE Phase 2: SUPER -NOVA facility.

Ignition

IFE Phase 3a: QUASAR Diode-pumped power plant demo

Study integrated compression/proton heating

- → Cryo-targets
- → proton acceleration with cone-in-shell target

IFE R&D

- 10 Hz diode-pumped Laser module devel
- Mass production target fab
- First wall materials and reactor design

IFE Phase 3b: High gain

Rep-rated power plant development

IFE Phase 3c: Power demo

IFE Power plant deployment

Experimental proof of the scaling behavior of our approach

Way to ignition and self-sustaining combustion

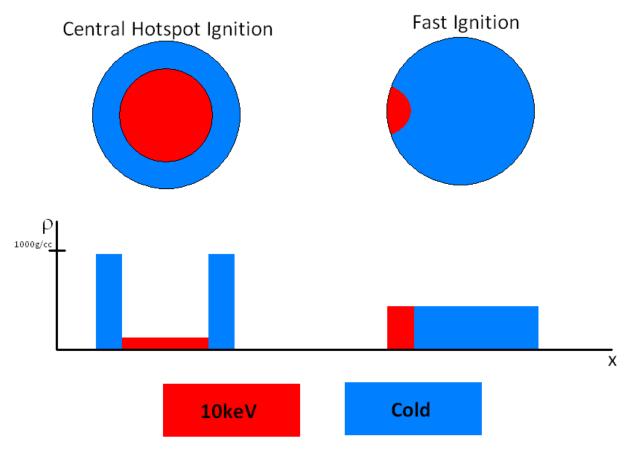
Capital market

2021 2022 2023 2024 2026 2028 2030 2035 2040

Proton Fast Ignition ignites compressed fusion fuel by producing a hot spot on the edge of the fuel with a proton pulse



Difference between hot spot and fast ignition



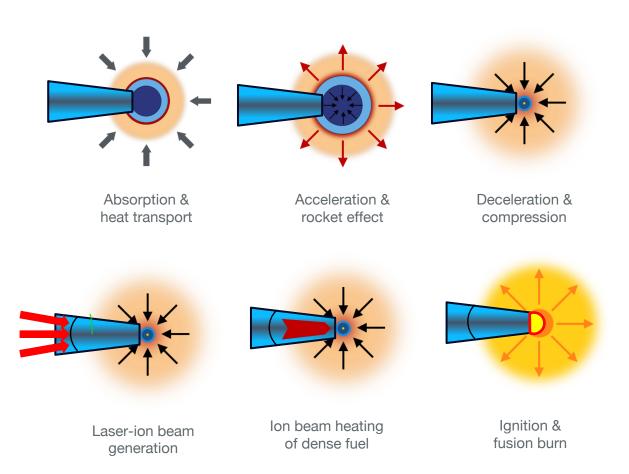
Fast ignition (FI) fuel assemblies differ from their central hot spot (CHS) counterparts

- Fuel is isochoric (by which we mean all at the same density)
- Ignition takes place in denser fuel
- Ignition takes place at the edge of the fuel
- Burn propagates from one side of the fuel to the other, rather than from the centre outwards

Proton Fast Ignition in our approach will employ a cone in shell target



Combining direct-drive laser fuel compression with proton fast ignition to generate a commercially attractive path to harvest energy



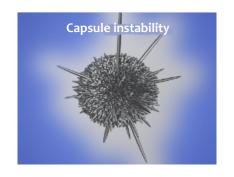


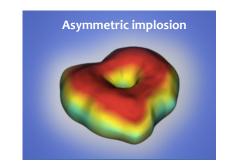
Direct-drive, proton fast ignition using a cone-guided capsule:

- Better energy coupling
- Applicable to fusion energy
- Uses higher efficient green light
- Less sensitive to instabilities
- Higher fusion gain
- Capsule protection in a power plant

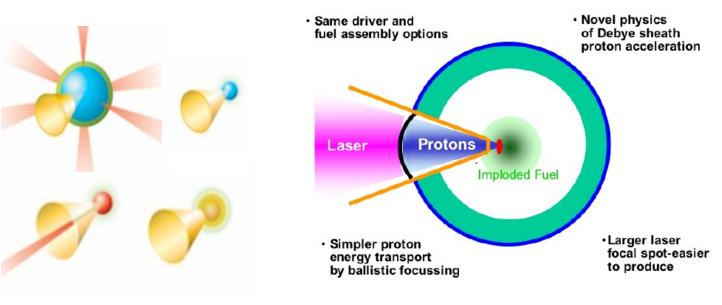
The Fast Ignition approach decouples the implosion of fusion fuel by long pulse lasers from the sparking of ignition in that fuel

Compression and heating of the fuel is hard and ignition is challenging (but not impossible) because of instabilities and lack of symmetry





Cone guided proton fast ignition separates compression from heating, yields higher gain, smaller facility and is less sensitive to instabilities



We believe that there are a number of reasons why direct-drive PFI approach has advantages for IFE commercialization

Physics:

- 2ω direct drive reduces needed compression laser energy to ~ 400 kJ
- Proton ignition relaxes requirement of fuel assembly symmetry
- PFI permits lower compression drive laser intensity since no hot-spot need be formed, aiding in LPI mitigation

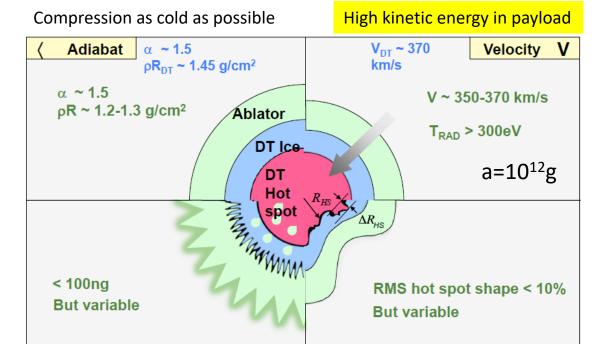
IFE Engineering

- Lack of hohlraum eases on-shot debris clearing problem at 10 Hz
- Cone in shell PFI target can act as shield for target as it is injected into a reactor chamber

Our approach has been chosen to try to address many of the unsolved problems in IFE



Four requirements for traditional hot spot ICF need to be fulfilled:



No instabilities during compression

CH mix in hot

spot < 100ng

Perfectly round shape

Shape

RMS hot spot

shape < 10%

acceleration

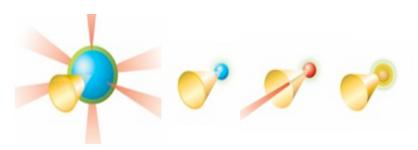
Growth rate:

Mix

M

$$\xi = \xi_0 \exp(\gamma_0 t), \gamma_0 = \sqrt{Aka}, \quad A = \frac{\rho_H - \rho_L}{\rho_H + \rho_L}, \quad k = \frac{2\pi}{\lambda}$$

Fast Ignition yields higher gain, smaller facility and is less sensitive to instabilities

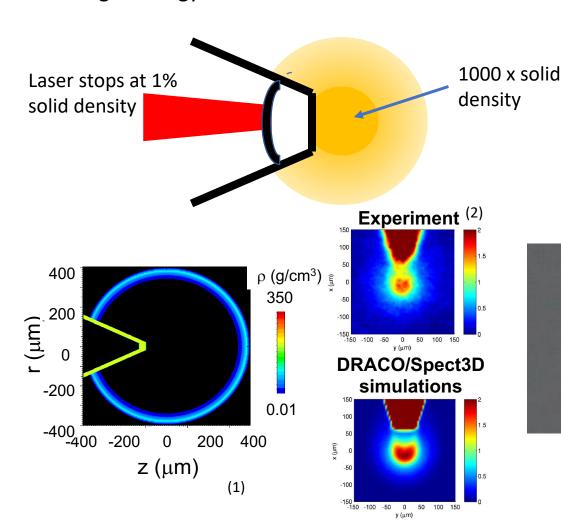


- Less compression, less instabilities
 300g/cc vs. 1000g/cc; 100 km/s vs. 350 km/s; longer compression time
- Cold assembly of dense fuel less spherical symmetry required
- Larger fuel mass to burn thicker shell or even solid target, no low density hot spot
- Cone guided geometry shell protection for injection into reactor, double shell assembly, fueling
- Broader fuel choice possible hot spot energy constant, burn wave into low T or alternate fuel

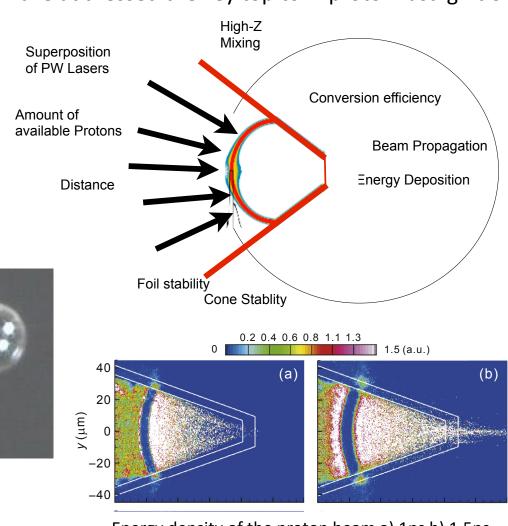
The PFI approach is based on an extensive body of experimental and computation work



Challenge: Energy must be delivered to the dense fuel



We have addressed the key topics in proton fast ignition



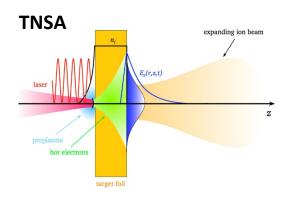
Energy density of the proton beam a) 1ps b) 1.5ps

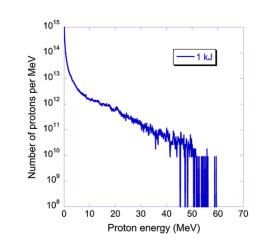
²W. Theobald et al., 54th Meeting APS-DPP, 2012

There is an excellent existing experimental and computational physics base for proton fast ignition

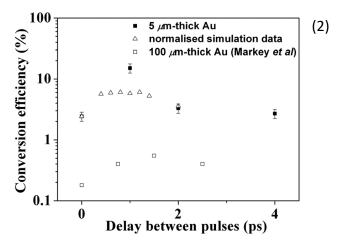


We can get the right ion energies

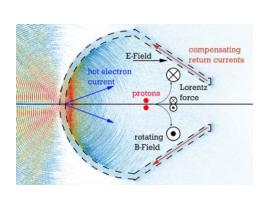


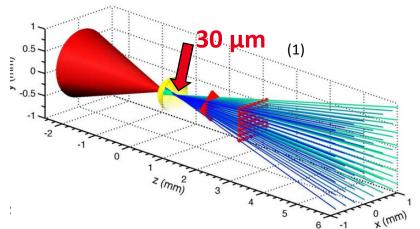


We can get a high conversion efficiency into the ion beam

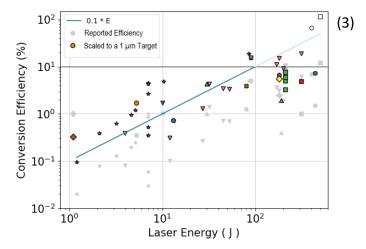


We can focus the beam





Ion beam generation scales favorably with laser energy



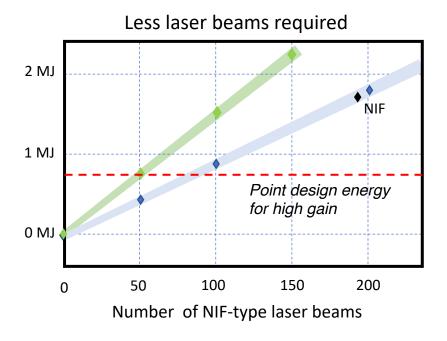
²C. Brenner et al., High energy conversion efficiency in laser-proton acceleration by control- ³M. Zimmer et al., Analysis of Laser-Proton Acceleration Experiments for ling laser-energy deposition onto thin foil targets, Appl. Physics Letters 104, 081123 (2014) the Development of Empirical scaling Laws, Phys. Rev. E, submitted 2021

¹T. Bartal et al., Focusing of short-pulse high-intensity laseraccelerated proton beams Nature Physics, DOI: 10-1038/NPYS2153

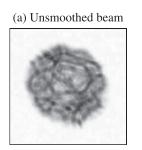
We believe that 20 light for direct drive compression is a better route for IFE power plants than UV light

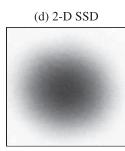


Direct-drive compression with green light



Green light allows for better beam control



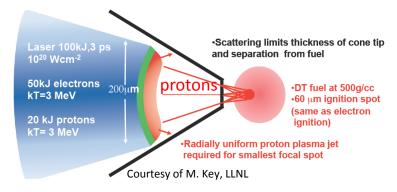


- Will require LPI control
 - Laser bandwidth
 - STUD pulses (?)
- Fast ignition does not require high in-flight velocity so drive pulses can be lower intensity (~1 - 2 x 10¹⁴ W/cm²)
- 527 nm light has higher damage threshold for optics than 351 nm
 - Frequency doubling can be done at the laser, not on the reactor chamber where neutron flux is high
 - Green light easily transported to chamber
- Frequency conversion can be slightly higher efficiency; broader bandwidth possible
- Requires fewer expensive large aperture nonlinear optical crystals

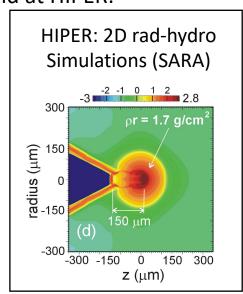
We have developed a detailed point design to demonstrate ignition with PFI

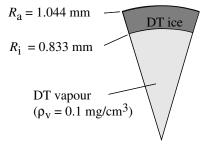


Design based on decades of research: Lawrence Livermore National Lab.:



... and at HIPER:





Capsule: 1.044 mm radius (inner),

1.050 (outer) CH or Be ablator

Fuel: DT (50/50), solid or thick-wall

shell (no ß-layering)

Fill: 0.587 mg DT

Compression laser energy: 280 kJ @ 2ω

Max peak intensity: 5x10¹⁴ W/cm²

RT growth factor : ≤ 6

Adiabat: ~1

Pulse duration: 12 ns

Fuel density: 300 g/cc

Max pr (g/cm^2) : 1.58

max. fusion yield / gain: 13 MJ / 30

We have a good handle on what the first ignition target will be and what laser energy is needed to ignite it



Proton beam energy: 18 kJ

T_{eff} proton beam: 3.5 MeV

Proton pulse duration: 8 ps (gap closure)

Proton focal spot size: 50 μm

Max. proton pulse duration: 20ps (hot spot lifetime)

Ignition laser: 150 kJ @ 3 ps

Ignition laser focal spot radius: 350 μm

Laser focusing optics: f/38 (approx. 15 m focal distance)

cone material: 50µm High-z (gold; Pb)

tamping: possible cone wall tamping using Li or CH

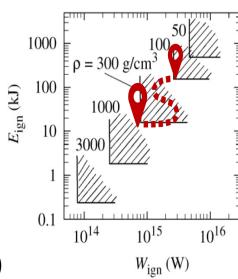
foil material: 10µm (Cu; Al, Pb)

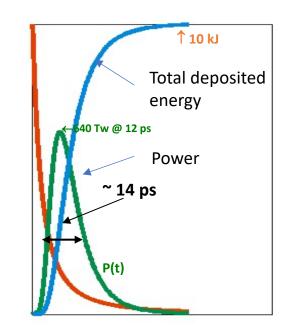
cone tip: 10 μm

cone length hemi to tip: 1mm

cone length, opening angle: 2mm, 60°

energy - power





Time

Controlled nuclear fusion is VERY challenging with considerable technical hurdles and risks



Technical Challenges to our IFE approach fall in four major categories

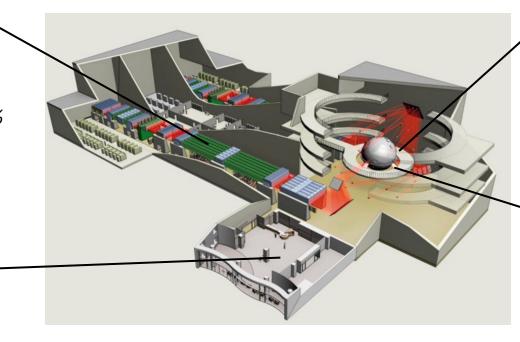
2) Laser development:

- Can lasers those be manufactured economically?
- Can thermal management manage 10 Hz rep. rate?
- Can wall plug efficiency exceed >10%

3) Target fabrication challenges:

- Can targets be manufactured with necessary tolerances?
- Can >800,000 targets a day be made for < \$0.50 per target?

5) Fifth risk area: Regulatory challenges of deploying IFE plants in various countries



1) Inertial fusion physics challenge:

- Is ignition possible within < 10 years?
- Is gain of over 100 possible?
- How much laser energy is needed for high gain?
- What is the best fusion fuel? (how much tritium?)

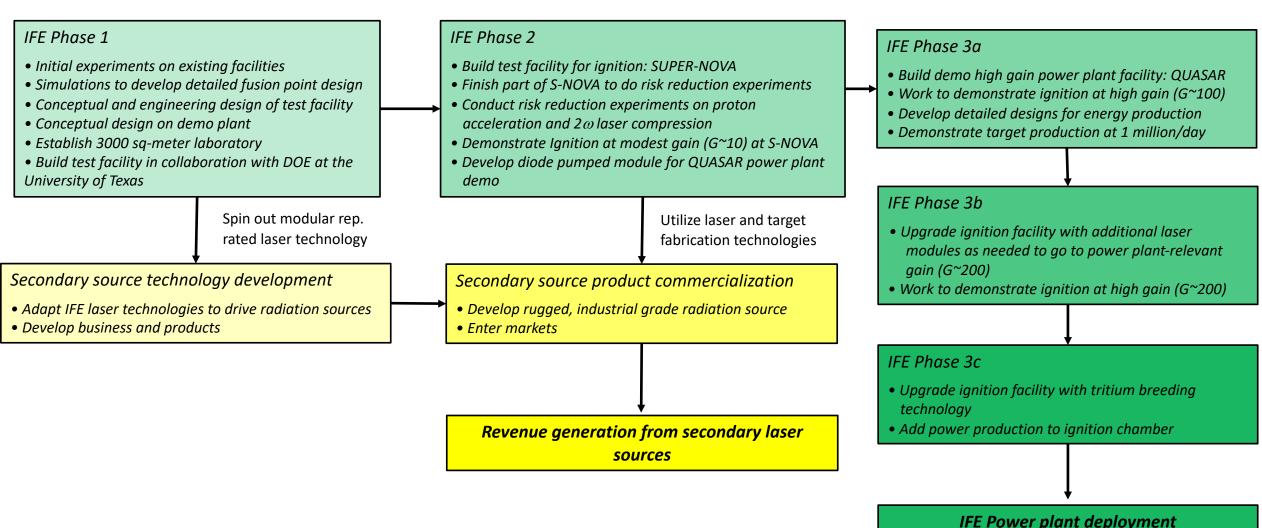
4) Reactor chamber challenges:

- Can the high neutron flux be handled?
- Do laser optics survive in this high radiation environment?
- What materials need to be developed to make the reactor vessel?

We believe that we can assemble the broad expertise and experience in a team that can tackle these challenges on a 10 – 15 year time scale

We have developed a high-level roadmap for development and commercialization of IFE power with a 3 phase plan





We are undertaking a near-term science program to address critical risk areas



Experimental efforts

- 1) Study of LPI and CBET effects in 2ω drive beams
 - Examine LPI in fast ignition relevant drive pulses (~ 10 ns @ ~ 10¹⁴ W/cm²)
- · Examine effects of increased bandwidth
- Explore possibility of STUD pulses for LPI mitigation
- 2) Study of proton acceleration efficiency
- Examine scaling toward multi-kJ picosecond pulse drive
- Examine pulse duration effects (with eye toward increasing pulse duration)
- Examine effects of overlapping multiple picosecond beams on the acceleration foil
- 3) Iso-choric compression studies (hopefully on Omega)
 - Examine high ρ -R assembly with thick shells

Simulation efforts

- 1) Integrated fusion ignition simulation to confirm laser energy needs for SUPER-NOVA
- 2) Examine how to maximize ρ -R with thicker shells (or even uniform initial density DT ice)
- 3) Examine optimum beam arrangement for compression of cone-in-shell targets

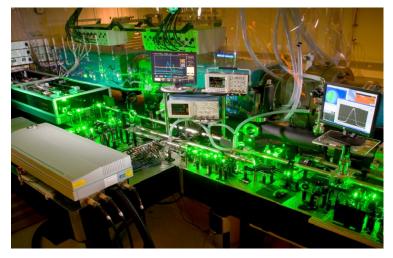
In Phase 1, we plan to utilize a number of existing experimental facilities to reduce risk



The L4 kJ picosecond laser at ELI-BL in Prague



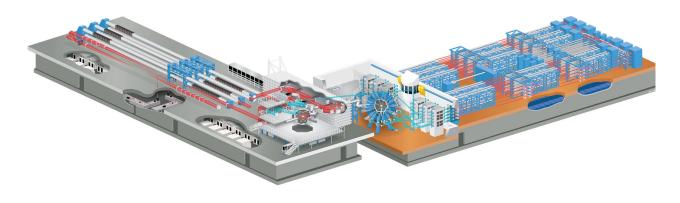
The Texas Petawatt laser at UT in Austin



The PHELIX laser at GSI Darmstadt



The Omega laser at LLE Rochester



With significant input from our Advisory Committee, we have put together a 3 Phase facility development plan



Facility	Location and Target completion	Targeted Specifications	Technical Goals
Mini-FEUER IFE Multi-purpose facility	University of Texas, Austin – Texas Petawatt High Bay lab 2024 Completion	4x beams @ 1 shot/min Each configurable in either long pulse (pulse shapeable) mode or short pulse CPA mode LP Mode: 4x 2 kJ @ 2ω (527 nm); 2- 15 ns shapeable SP Mode: 4x 1 kJ @ 1057 nm; 350 fs – 10 ps	 Study basic physics of FE Proton FI approach: 2ω drive; multi-PW proton beam production Partner with DOE FES to develop dual use research facility available for peer-reviewed user access
Super-NOVA	Germany or Texas 2028 Completion	120x beams LP for direct drive compression @ 1 shot/min 400 kJ @ 2w (~10 ns) 60x beams CPA for proton accel. 150 kJ @ 1w (3 - 5 ps)	 Study integrated compression/proton heating (Super-NOVETTE) Demonstrate Ignition at G~10 Study gain scaling and optimum laser parameters
QUASAR	USA or Europe 2033 targeted completion	~250x Diode pumped laser modules @ 10 Hz 800 kJ (or more) LP @ 2w 150 kJ @ 3 ps	 Demonstrate facility operation at 10 Hz Ignition gain up to G>200 Demonstrate power production and tritium breeding

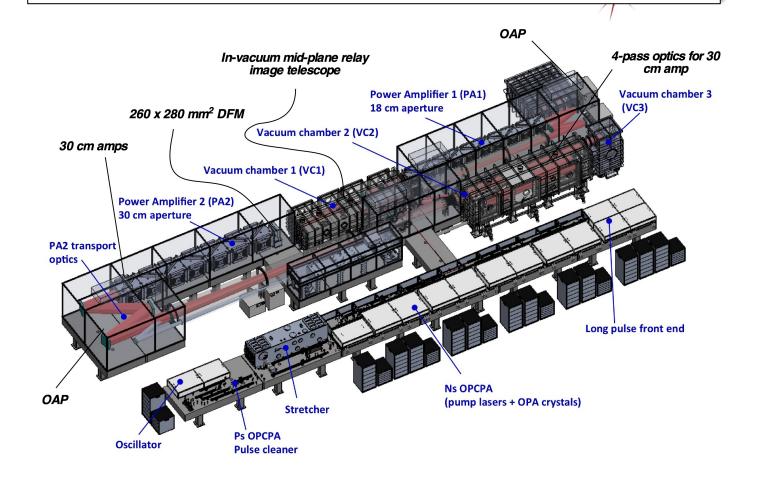
The technology needed for the test facility has already been developed and deployed by National Energetics in Prague







L4 Laser: 2 kJ per pulse
1 shot per minute
Pulse duration down to 135 fs



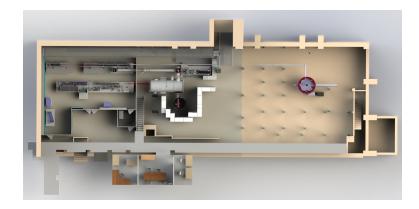
We are assessing the possibility of teaming with DOE FES and UT to build a joint, IFE research facility at the Texas Petawatt



Prospective capability

- 4 beams firing @ 1 shot/min
 - Each can be operated in long pulse or fs-ps CPA mode
- LP Mode: 2 kJ per beam @ 527 nm
 - 2 15 ns, pulse shapeable
 - Broadband front end possible
- SP Mode: 1 kJ per beam
 - 350 fs 10 ps
- 3 m diameter target chamber w/ flexible beam configurations

4 beam housed in expanded, 8000 sq. ft renovated high bay at UT in Austin



Power amplifiers
are liquid-cooled,
lamp pumped
Nd:glass amps
developed for L4 in
ELI Beamlines





High Bay Renovations

~ \$12M renovation investment by UT (?)

Facility Construction

~ \$60M construction investment by FE

FE Experiments on IFE physics

- 50% usage by FE
- 10% usage UT
- 40% usage by outside users LaserNetUS or IFE Program peer review

Operations DOE Funded (?)

2021 2022 2023 2024 2025 2026

We are beginning the design of a laser facility potentially capable of direct drive ignition with modest gain



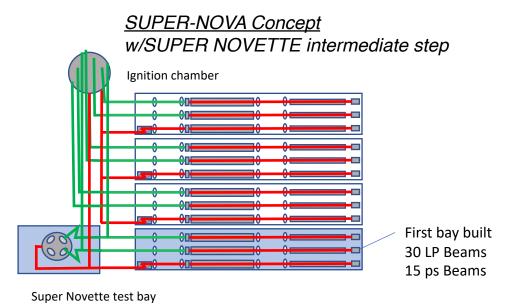
SUPER-NOVA

Ignition scale laser @ 1 shot/min

- 120 Compression beams
 - Each 3.5 kJ @2w ~ 10 ns
- 60 CPA picosecond lasers
 - Each 2.5 kJ ~ 3 ps

3m dia. S-NOVETTE Chamber

6 m dia. S-NOVA Ignition Test chamber



Power amplifiers will utilize 30 cm liquidcooled lamp-pumped Nd:glass technology, adapted from L4 technology ~ 3.5 kJ per beam @ 2w



Conceptual Design

Detailed Facility

Design

Building construction

Long Lead procurements made

S-NOVA Facility construction

Complete first bay for initial experiments

S-NOVETTE Operations and experiments

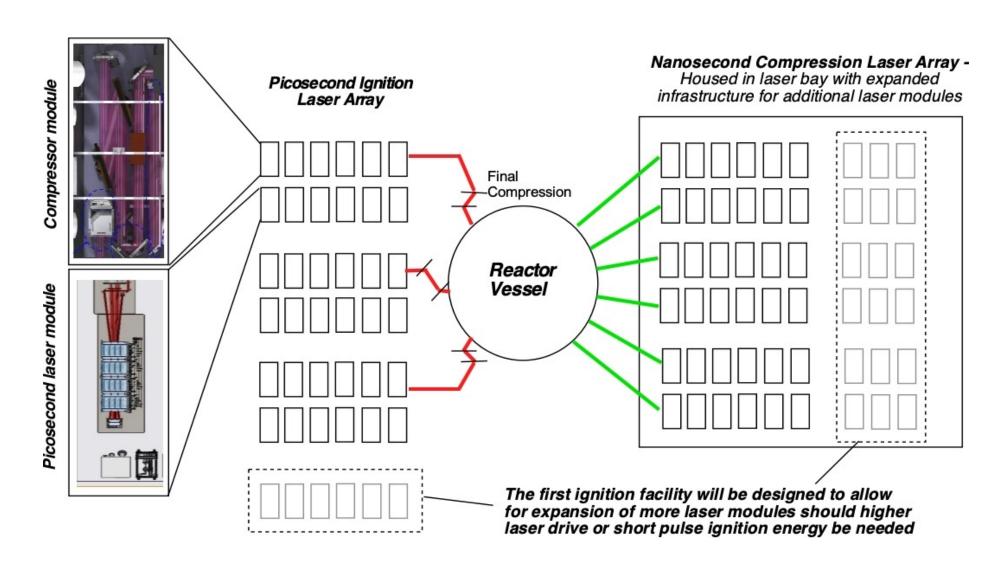
Operations and experiments

Ignition experiment

2021 2022 2023 2024 2025 2026 2027 2028 2029 2030

The QUASAR Fusion Test Reactor would be based on a concept designed with modular architecture to allow for expansion





A goal from the outset is to develop laser driver technologies that reduce cost and increase reliability by mass manufacturability

FOCUSED Energy.

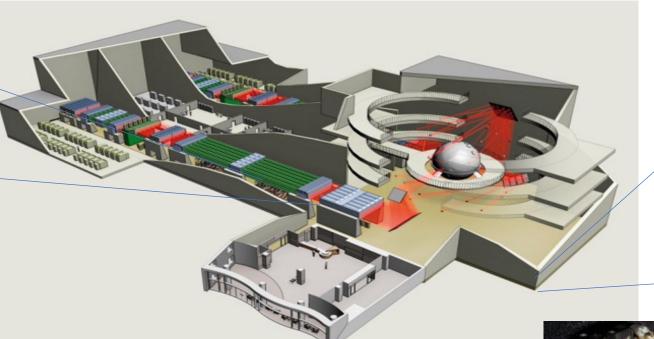
Modular Short pulse laser

compressor units: ~1 kJ at

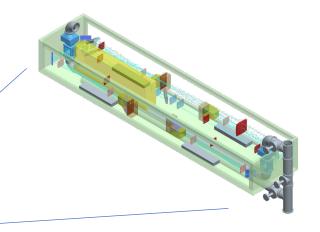
10 Hz. 100-200 units



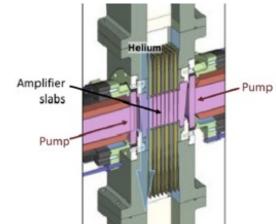




COMPRESSION LASER MODULES
Modular diode pumped laser: ~3
kJ at 527 nm out. 200-300 units



The QUASAR Demo power plant would require 200 – 300 individual diode pumped modular laser units



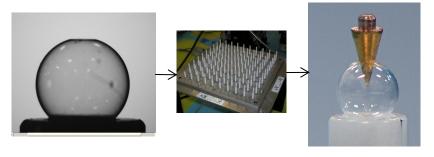
We are initiating an R&D effort to address the considerable challenges of inexpensive IFE target mass fabrication



Target production for ~100/day



Glue capsules to handling posts (~90 min/batch of 100)



Cut holes in tops of capsules (~100/day)

Insert cone, align & glue (~120 min/batch of 100)

Mass production techniques for 3D components Automated robot assembly of complex targets Insertion of targets and tracking



About 10⁶ targets/day for <\$1/target



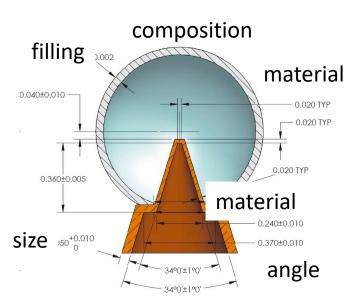
Stamp cones from metal

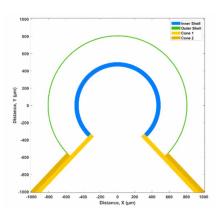


DVB shell targets unpolished/polished



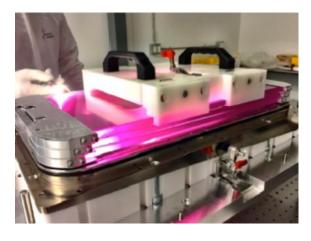






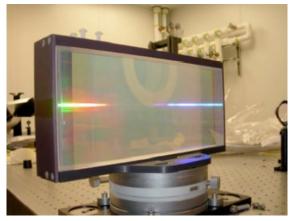
We have identified some supply chain areas which will likely need investment to build capability







- Large slabs need for amplifiers
- 6000 10,000 slabs needed for SUPER NOVA alone (~\$200M)
- Principal supplier is Schott (Germany) but they have reduced capacity since LLNL



Diffraction gratings

- Large aperture needed to compress multi-PW pulses
- 100 200 gratings needed for SUPER NOVA (~\$50M)
- Principal supplier has been LLNL; some supply from PGL (USA) or Horiba (France)



Laser Diodes

- 5 GW peak diode power needed to pump QUASAR
- At \$0.10/watt are huge cost in power plant (~\$500M)
- A number of suppliers in US and Germany, but none have mass production capability (with cost now ~\$0.50/watt)

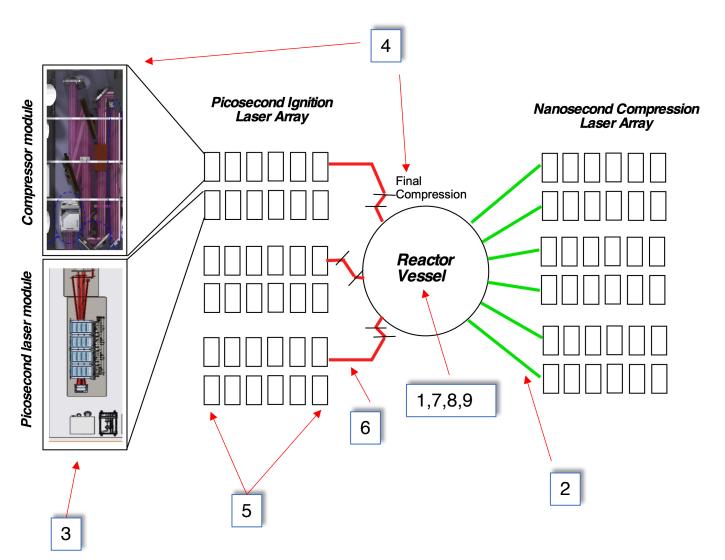


Integrated laser mass manufacturing

- 200 400 compact, rugid, manufacturable systems needed for QUASAR
- Will require manufacturing cost at <\$1M/kJ laser energy
- Custom laser companies exist in US, France and Germany

Our IFE R&D plan is driven by the goal of demonstrating ignition and then building a 10 Hz demo reactor in the early 2030s



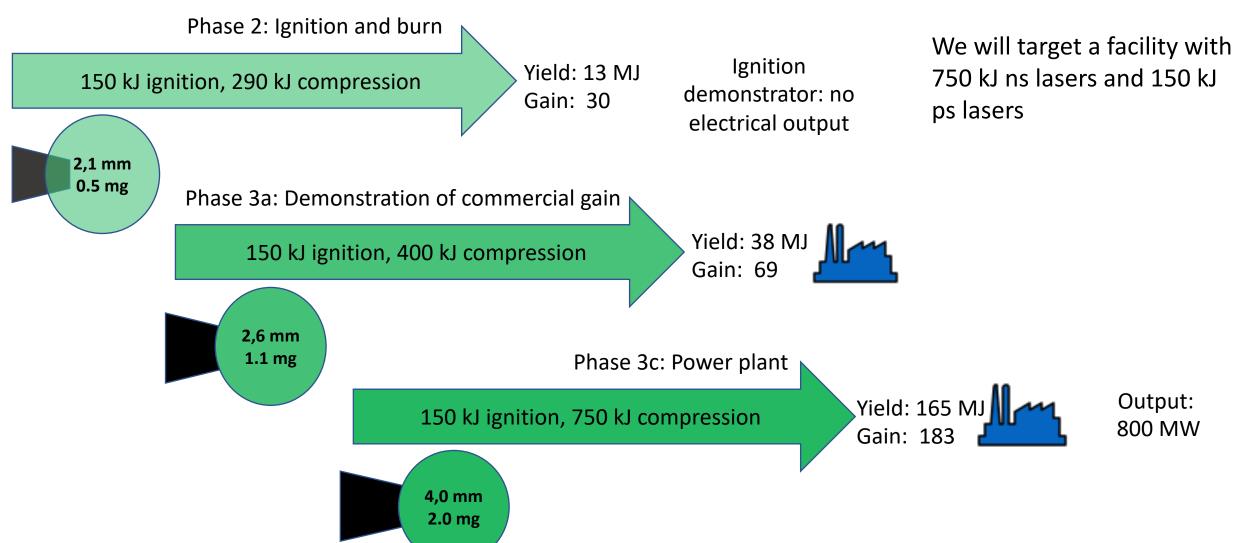


Areas needing innovation investment

- 1) High gain ignition concept
- 2) Compression using green (vs UV) laser light
- High efficiency conversion
- 3) Modular diode-pumped driver laser
 - · Compact, rugged, inexpensive to manufacture
 - Wall plug efficiency >15%
- 4) Picosecond compression technology
- Compression in gas, novel gratings (transmission gratings)
- 5) Modular facility architecture with variable # of beams
 - In-line replaceable modules
 - · Picosecond and nanosecond laser module interchangeability
 - Architecture allowing plants with differing energy yields
- 6) Beam transport
 - Large stand-off of lasers; damage resistant, inexpensive optics; rugged to radiation damage
- 7) Cryo target fabrication
 - Extremely inexpensive to manufacture rapidly
- 8) Target insertion at >10 Hz
- 9) Energy extraction and tritium breeding technologies
 - · First wall materials need development

We have devised a phased path to commercial fusion power





We are working with our initial investors to secure the funding needing to realize ignition and a rep. rated power plant demo



IFE Phase 1: Test facility and studies

First Raise round complete IFE Phase 2: SUPER -NOVA facility. *Ianition* Funds: Start up activities Renovation of Darmstadt R&D IFE Phase 3a: QUASAR Diode-pumped power plant demo Funds: Lab facility SUPER-NOVA building Initial Engineering work on UT • 3 kJ demo laser module @ 10 Hz IFE Phase 3b: High gain IFE Facility and SUPER-NOVA QUASAR materials R&D Prime Movers Lab. Marc Lore. · QUASAR design IFE Phase 3c: Power demo Alex Rodriguez Prime Verbal commitment from initial Funds: **IFE** Power plant Movers **Investors** Possibly funded in part by first utility customer SUPER-NOVA construction deployment Funds: Funds: SUPER-NOVETTE experiments UT IFE Facility construction · QUASAR demo @ 10 Hz Ignition demo • R&D on 10 Hz Diode pumped module Reactor and power production Target fab Telosa SUPER-NOVA design \$15M \$150M \$500M **\$2100M** \$3000M \$5200M 2021 2023 2024 2026 2028 2022 *2030 2035* 2040

IFE Technology Summary: Our kick-off position in IFE is based on solid progress in all five challenge areas



Major Challenge to an IFE Power Plant Demonstration	Where we are at this time	Major advancement needed for fusion reactor demonstration
Inertial fusion physics ignition and high gain	 Compression to necessary density demonstrated on NIF at 3ω Proton conversion efficiency of ~10% demonstrated Promising results seen on compression with 2ω green light 	 Compression of isochoric fuel to ρR of >0.3 g/cm² with 2ω light Demonstration of ~15% proton efficiency in high x-ray flux environment of imploded fuel Combined ignition experiment
High repetition rate, high energy laser drivers	 100 J-class 10 Hz lasers demonstrated CPA at the multi-kJ level at 1 shot/min Lasers are science machines in clean room labs 	 Development of low cost, mass producible <u>laser modules at ~3 kJ</u> per pulse at 10 Hz Development of compact, robust <u>pulse</u> <u>compression modules</u>
High throughput, high quality target fabrication	 Target types needed demonstrated at ~ 100 targets per day 	 Target production at 10⁶ targets per day at < \$0.50 per target High reproducibility
Reactor chamber design, tritium breeding and energy harnessing	 Conceptual design for reactor and tritium breeding have been published Some work on radiation resistant steel and fibrous reactor wall materials already conducted 	 Reactor chamber that can hold up to GW level neutron fluxes for many years Liquid lithium tritium breeding system Easily replaceable inner reactor chamber
Fusion power plant regulations and licensing	Discussions of regulatory framework in the US have been started with NRC by the Fusion Industry Association	 Framework for <u>licensing a demo plant</u> in the US with the NRC Regulatory framework in Europe and Asia

IFE Science Summary: The science basis for proton fast ignition driven fusion gain is now very strong



- Proton fast ignition decouples the compression of fusion fuel from the sparking of burn in the fusion fuel
- This eases the requirements for symmetry in compression
- There is good experimental evidence that compression can be achieved with 2\omega green light, easing the manufacture of the drive lasers
- 10% proton conversion efficiency has been demonstrated in select experiments, equaling what is needed for PFI
- We have a specific point design validated by multiple simulations